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**(54) Title:** DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR PRODUCING HUMANIZED MONO-CLONAL ANTIBODIES TO *S. MUTANS* 

(57) Abstract: Dental caries in man may be prevented or treated by oral ingestion of human or humanized murine monoclonal IgG and IgM antibodies that bind to surface antigens of cariogenic organisms, such as S. mutans. The genetically engineered monoclonal antibodies engage the effector apparatus of the human immune system when they bind to cariogenic organisms, resulting in their destruction. In a preferred embodiment, monoclonal antibodies to cariogenic organisms are produced by edible plants, including fruits and vegetables, transformed by DNA sequences that code on expression for the desired antibodies. The antibodies are applied by eating the plants.

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**PATENT** 

# PATENT APPLICATION IN THE U.S. PATENT AND TRADEMARK OFFICE

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for

DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR PRODUCING HUMANIZED MONOCLONAL ANTIBODIES TO S. MUTANS

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by

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# Background of the Invention

This application is a continuation-in-part of United States patent application serial number 09/378,577 filed August 20, 1999.

This application relates to an immunologic methodology for the treatment and prevention of dental caries. This invention has special application to patients who are without the ability or motivation to apply established principles of self care, such as very young children, the infirm and poorly educated populations.

Dental caries (tooth decay) and periodontal disease are probably the most common chronic diseases in the world. The occurrence of cavities in teeth is the result of bacterial infection. Hence the occurrence of dental caries is properly viewed as an infectious microbiological disease that results in localized destruction of the calcified tissues of the teeth.

Streptococcus mutans is believed to be the principal cause of tooth decay in man. When S. mutans occurs in large numbers in dental plaque, and metabolizes complex sugars, the resulting organic acids cause demineralization of the tooth surface. The result is carious lesions, commonly known as cavities. Other organisms, such as Lactobaccilli and Actinomyces are also believed to be involved in the progression and formation of carious lesions. Those organisms that cause tooth decay are referred to herein as "cariogenic organisms."

Removal of the damaged portion of a tooth and restoration by filling can, at least temporarily, halt the damage caused by oral infection with cariogenic organisms. However, the "drill and fill" approach does not eliminate the causative bacterial agent. Proper oral hygiene can control the accumulation of dental plaque, where cariogenic organisms grow and attack the tooth

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surfaces. However, dental self-care has its limits, particularly in populations that are unable to care for themselves, or where there is a lack of knowledge of proper methods of self care. Administration of fluoride ion has been shown to decrease, but not eliminate the incidence of dental caries.

In view of the overwhelming evidence of the involvement of cariogenic organisms in the pathogenesis of dental caries, it is not surprising that there have been a number of different attempts to ameliorate the condition using traditional methods of anti-microbial therapy. The disadvantage of antimicrobial agents is that they are not selective for cariogenic organisms. Administration of non-specific bacteriocidal agents disturbs the balance of organisms that normally inhabit the oral cavity, with consequences that cannot be predicted, but may include creation of an environment that provides opportunities for pathogenic organisms. In addition, long term use of antimicrobial agents is known to select for organisms that are resistant to them. Hence long term and population-wide use of antimicrobial agents to prevent tooth decay is not practical.

Vaccination of humans to elicit an active immune response to *S. mutans*, or other cariogenic organisms, is also not a practical solution at this time. One drawback of this approach is that vaccination elicits production of predominantly IgG and IgM antibodies, but they are not secreted into saliva. The majority of antibodies present in saliva are of the IgA isotype, which can bind to, but cannot activate lymphocytes or complement components to kill bacteria. Accordingly, vaccination is not believed likely to be capable of producing antibodies that can trigger the immune system to kill cariogenic organisms in the mouth. There is no known method for selectively increasing the titer of vaccination induced antibodies of the IgG or IgM isotypes in the oral cavity.

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There have been a number of reported attempts to passively immunize patients to *S. mutans* using monoclonal IgA antibodies raised in mice to prevent tooth decay in animals and in man. Because IgA is a multivalent antibody, a single molecule of IgA can bind to several different antigenic sites, resulting in clumping of bacteria. However, binding of IgA to bacterial surface antigens does not kill the bacteria. Rather, clumping is believed to hinder the ability of bacteria to bind to tooth surfaces. Another drawback of this approach is that repeated administration of murine (i.e., heterologous) antibodies to humans has the potential to evoke an immune response to the antibodies.

Unlike IgA antibodies, antibodies of the IgG and IgM classes have bacteriocidal effects. Binding of IgM or IgG antibodies to antigens present on the surface of cariogenic organisms may result in the destruction of the bacterial cells by either of two presently known separate mechanisms: complement mediated cell lysis and antibody-dependent cell-mediated cytotoxicity. In either case, antibodies that selectively bind to certain microbial organisms target just those cells for destruction by the immune system. Both complement mediated cell lysis and antibody-dependent cell mediated cytotoxicity are part of the humoral immune response that is mediated by antibodies of the IgG and IgM classes.

In order to elicit the desired cytotoxic effect of antibody binding, monoclonal antibodies to cariogenic organisms must be recognized by the human immune system. There are a number of different technologies by which antibodies that will trigger a response from the human immune system can be produced. One example is producing a chimeric antibody using a nucleic acid construct that codes for expression of a human antibody modified to incorporate sequences encoding the variable domain from a different source. Another method utilizes a phage display to determine the actual binding sites of the

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monoclonal antibody, the complimentarity determining regions (CDRs), and then grafting the CDRs onto the framework regions of the variable domains of a human immunoglobulin by site directed mutagenesis. Still other methods, known in the art, which allow the production of antibodies capable of engaging the humoral immune systems include: 1) Immunizing mice which have been genetically altered to produce human antibodies; 2) Immunizing isolated human B cells in vitro and then going through a cell fusion procedure to produce a hybridoma that secretes the antibody; and 3) Isolating B cells from humans with acute infection and producing an antibody generating hybridoma.

Production and administration of such genetically engineered humanized or human monoclonal antibodies to treat dental caries in man poses issues requiring innovative solutions. Prior art methods for production of monoclonal antibodies involve growing hybridomas in culture media, followed by extraction and purification of the desired antibody. These steps are significantly simplified in a preferred embodiment of the invention by expressing the antibodies in edible plants or animals. The antibodies are administered upon oral ingestion of plant or animal products, such as fruits, vegetables or milk wherein the antibodies are not denatured. This mode of administration has the potential for obviating compliance issues in ameliorating tooth decay.

United States patent application Serial No. 09/378,247 discloses three murine monoclonal antibodies specific to *S. mutans*: SWLA1, SWLA2 and SWLA3. Development of an effective immunological method for the treatment and prevention of dental caries requires preparation of monoclonal antibodies genetically engineered to both express monoclonal antibodies specific to *S. mutans* and engage the effector apparatus of the human immune system.

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# Summary of the Preferred Embodiments

Dental caries may be prevented or treated by oral ingestion of human or humanized murine monoclonal IgG and IgM antibodies that bind to surface antigens of cariogenic organisms, such as *S. mutans*. The genetically engineered monoclonal antibodies engage the effector apparatus of the human immune system when they bind to cariogenic organisms, resulting in their destruction. In a preferred embodiment, monoclonal antibodies to cariogenic organisms are produced by edible plants, including fruits and vegetables, transformed by DNA sequences that code for expression of the desired antibodies. The genetically engineered monoclonal antibodies are applied by eating the transformed plants.

We have now isolated and sequenced the nucleotide sequences encoding the variable regions of monoclonal antibodies specific to *S. mutans*. When expressed, monoclonal antibodies encoded by these sequences bind specifically to *S. mutans*. Through the use of recombinant techniques, the variable regions of the monoclonal antibodies have been linked to the constant region of human antibodies thereby generating a chimeric monoclonal antibody that specifically binds *S. mutans*. This chimeric monoclonal antibody is directed specifically to surface antigens of cariogenic organisms which generates an effector response from the immune system upon binding to the target organism.

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# Detailed Description of the Preferred Embodiments

# 1. <u>Preparation of Monoclonal Antibodies</u>

The monoclonal antibody technique permits preparation of antibodies with extraordinary specificity. Monoclonal antibodies that bind to specific molecular structures can be produced using what are today considered standard techniques.

The monoclonal antibodies that may be used in this invention are those that are directed to surface antigens of cariogenic organisms. Surface antigens are substances that are displayed on the surface of cells. Such antigens are accessible to antibodies present in body fluids. In the context of the present invention, surface antigens of cariogenic organisms are present on the surface of organisms that cause dental caries. While the role of bacterial activity in the genesis of carious lesions is well defined, establishing a cause and effect relationship between a particular organism and the occurrence of dental caries has not been completely successful. To date, only *S. mutans* has been definitively associated with dental caries. However, species of the *Lactobaccili* and *Actinomyces* are also believed to be involved, particularly with the active progression of carious lesions. Any organism that can produce a carious lesion is a potential target for the monoclonal antibodies prepared and used in accordance with this invention.

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A further requirement of the monoclonal antibodies that may be used in the practice of the present invention is that they are selective for cariogenic organisms. Monoclonal antibodies directed to antigens present on cariogenic as well as non-cariogenic organisms may produce non-specific alterations in the makeup of the flora within the oral cavity. The consequences of such changes are not understood.

Accordingly, the preferred monoclonal antibodies selectively bind to surface antigens of cariogenic organisms. That is to say, the preferred monoclonal antibodies bind specifically to organisms that cause dental caries.

It should be clearly understood that the scope of the present invention is not limited to the prevention of tooth decay in man. Monoclonal antibodies in accordance with the present invention can be genetically engineered to engage the effector response of the immune system of other mammals, such as those that are domesticated as pets.

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Monoclonal antibodies can be prepared by immunizing mice or other mammalian hosts with cell wall material isolated from cariogenic organisms. In a preferred embodiment, the cariogenic organisms are type c S. mutans (ATCC25175). The immunogenecity of molecules present in cell walls may be enhanced by a variety of techniques known in the art. In a preferred embodiment, immunogenecity of such molecules is enhanced by denaturation of the isolated cell material with formalin. Other techniques for modifying cell wall proteins to enhance immunogenecity are within the scope of this invention. Typically, hosts receive one or more subsequent injections of isolated bacterial cell fragments to increase the titer of antibodies prior to sacrifice and cloning.

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myeloma cell line was used as the fusion partner and grown in spinner cultures in 5% CO<sub>2</sub> at 37° C and maintained in log phase of growth prior to fusion. Hybridomas were produced according to the procedure reported by Kohler et al. *Nature*, 256:495-497, (1975). Hybrids were selected in media containing HAT (100 μg Hypoxanthine, 0.4 μM Aminopterin; 16 μM Thymidine). HT (100 μg Hypoxanthine; 16 μM Thymidine) was maintained in the culture medium for 2 weeks after aminopterin was withdrawn. OPI (1 mM oxaloacetate, 0.45 mM pyruvate and 0.2 U/ml bovine insulin) was added as additional growth factors to

Spleen cells from hosts are harvested. The NSI/Ag4.1 mouse

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the tissue culture during cloning of the hybridomas. The hybridomas were further cloned by limiting dilution using techniques that have become standard since the pioneering work of Kohler and Milstein. In a preferred embodiment, surviving hybridomas were screened for antibody directed to cariogenic organisms by ELISA assay against microtiter plates coated with formalinized bacterial cell material. Positive supernatants were subjected to further screening to identify clones that secrete antibodies with the greatest affinity for the cariogenic organisms. In a preferred embodiment, clones with titers at least three times higher than background are screened again using immunoprecipitation with denatured cell wall material from *S. mutans*. In a preferred embodiment, three clones were identified which bound detectably only to *S. mutans* strains ATCC25175, LM7, OMZ175 and ATCC31377. These clones were deposited with the American Type Culture Collection, receiving Deposit Numbers HB 12599 (SWLA1), HB 12560 (SWLA2), and HB 12558 (SWLA3). United States patent application serial number 09/378,247.

There are various ways to obtain nucleic acid sequences that code for expression of human or humanized monoclonal antibodies specific for the surface antigens of cariogenic organisms: 1) Isolating murine hybridomas which produce monoclonal antibodies against cariogenic organisms and cloning murine genes that code for expression of those antibodies; 2) Using purified cariogenic organisms to screen a phage display random library made from human B lymphocytes to obtain genes that encode antibodies specific for cariogenic organisms; 3) Isolating human hybridomas that produce monoclonal antibodies against cariogenic organisms, using B lymphocytes recovered from heavily infected patients and cloning the human genes encoding these antibodies; or 4) Immunizing human B lymphocytes and spleen cells *in vitro* using purified cariogenic organisms, followed by fusion to form hybridomas to

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create immortal cell lines. The techniques required are known to those skilled in the art and are not limited to the methods described herein.

2. <u>Preparation of Monoclonal Antibodies Capable Of Eliciting An</u>
<u>Effector Response From Human Immune System</u>

Previous efforts to develop an immunological method for the prevention of dental caries employed heterologous antibodies. For example, Lehner, United States patent 5,352,446, refers to use of monoclonal antibodies to S. mutans surface antigens raised in mice in inhibiting the proliferation of those bacteria in monkeys. More recently, Ma et al. Nature Medicine, 45(5) 601-6 (1998), reported similar results in humans, using a genetically engineered secretory monoclonal murine antibody to S. mutans expressed in tobacco plants. Drawbacks to this approach include 1) administration may aggregate the offending organisms, but not kill them because the non-human antibodies do not effectively engage the human immune response; and 2) repeated administration of the antibody may elicit an immune response from the patient to the antibody. A preferable approach is to use recombinant techniques to prepare chimeric antibody molecules directed specifically to surface antigens of cariogenic organisms, that will also elicit an effector response from the immune system of the mammal treated therewith upon binding to the target organism. This can be accomplished by inserting variable regions from murine monoclonal antibodies that are specific to cariogenic organisms into antibodies of the IgG and/or IgM classes from the mammal to be treated. It is also possible to generate antibodies that utilize just the complementarity determining regions (CDRs) of a murine monoclonal antibody specific to cariogenic organisms. Through known recombinant techniques, the CDRs are transferred into the immunoglobulin's variable domain.

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Methods are also known for generating the antibody directly, for example: 1) Immunizing mice which have been genetically altered to produce human antibodies; 2) Immunizing isolated human B lymphocytes in vitro and then going through a cell fusion procedure to produce a hybridoma that secrets the antibody; and 3) Isolating B Imphocytes from humans with acute infection and producing an antibody generating hybridoma. The techniques required are known to those skilled in the art. Because each method produces a human antibody, the antibodies are capable of engaging the humoral immune effector systems upon binding to their specific antigens.

In the presently preferred embodiment of the invention, chimeric antibodies specific to *S. mutans* were generated. Using PCR or Southern blot techniques, DNA fragments encoding the variable domains of murine hybridomas secreting antibody specific to cell surface antigens of cariogenic organisms were isolated. Using gene cloning techniques, the variable regions were joined to the constant regions of human immunoglobulins. The result of this genetic engineering is a chimeric antibody molecule with variable domains that selectively bind to surface antigens of cariogenic organisms, but which interacts with the human immune effector systems through its constant regions.

# 3. Administration of Monoclonal Antibodies

In order to prepare a sufficient quantity of monoclonal antibodies for clinical use, the desired cell line, transfected with sequences encoding the immunoglobulin, must be propagated. Existing technology permits large scale propagation of monoclonal antibodies in tissue culture. The transfected cell lines secrete monoclonal antibodies into the tissue culture medium. The secreted monoclonal antibodies were recovered and purified by gel filtration and related techniques of protein chemistry.

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In experimental studies, monoclonal antibodies to *S. mutans* have been applied directly to the surface of teeth. Application by ingestion of mouthwash, or by chewing gum has also been proposed. A presently preferred alternative is to express the chimeric monoclonal antibodies of the present invention in edible plants, such as banana or broccoli. Eating plants transformed in accordance with this invention will result in application of the antibodies to cariogenic organisms present on tooth surfaces, and elsewhere in the mouth. It is also contemplated that other organisms, both plant and animal, may be transformed to express the monoclonal antibodies described herein, so that such antibodies may be ingested, for example, by drinking milk.

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## Brief Description of the Drawings

The present invention is now described, by the way of illustration only, in the following examples which refer to the accompanying FIGS. 1-8, in which:

FIG. 1 shows the DNA sequences (SEQ ID NOS: 1 and 3) encoding the variable regions of the chimeric antibody (TEDW) specific to S. mutans derived from SWLA1 cells together with the predicted amino acid sequences (SEQ ID NOS: 2 and 4).

FIG. 2 shows the DNA sequences (SEQ ID NOS: 5 and 7) encoding the variable regions of the chimeric antibody (TEFE) specific to S. mutans derived from SWLA2 cells together with the predicted amino acid sequences (SEQ ID NOS: 6 and 8).

FIG. 3 shows the DNA sequences (SEQ ID NOS: 9 and 11) encoding the variable regions of the chimeric antibody (TEFC) specific to S. mutans derived from SWLA3 cells together with the predicted amino acid sequences (SEQ ID NOS: 10 and 12).

FIG. 4 shows the DNA sequence (SEQ ID NO: 13) encoding an aberrant light chain variable region derived from SWLA1 cells together with the predicted amino acid sequence (SEQ ID NO: 14).

FIG. 5 shows the DNA sequence (SEQ ID NO: 15) encoding a non-effective heavy chain variable region derived from SWLA1 cells together with the predicted amino acid sequence; (SEQ ID NO: 16).

FIG. 6 shows the DNA sequence (SEQ ID NO: 17) encoding an aberrant heavy chain variable region derived from SWLA1 cells together with the predicted amino acid sequence; (SEQ ID NO: 18).

FIG. 7 shows the DNA sequence (SEQ ID NO: 19) encoding an aberrant heavy chain variable region derived from SWLA2 cells together with the predicted amino acid sequence; (SEQ ID NO: 20).

FIG. 8 shows light and florescent microscope images of chimeric antibody TEDW binding to S. mutans.

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anti-mouse antibody was diluted in 800 μl of coating buffer and 50 μl of each reagent was added to 10 wells of a 96 well polystyrene ELISA plate. Plates were incubated overnight at 4°C. The plate was washed four times with washing buffer, 0.05% Tween-20 in PBS, and the remaining contents shaken out and the plate blotted dry on a paper towel. 200 μl of blocking solution, 1% BSA in PBS, was added to each well and the plate was incubated at room temperature for 30 minutes. Plates were again washed four times and the contents shaken out. 50 μl of hybridoma supernatant was added to the appropriate wells. Positive controls from the kit were added to the appropriate wells. The plate was incubated at room temperature for one hour. The plate was washed five times with washing buffer and the plate was blotted dry. One phosphatase substrate tablet was dissolved in 5.0 ml of p-NNP substrate diluent. 50μl of substrate solution was added to each well and the plate was incubated for 40 minutes. The plate was read at 405nm on a Dynatech MR 700 microplate reader. SWLA1, SWLA2 and SWLA3 were all determined to be γ2a,κ (IgG).

#### B. Biosynthetic labeling

Cells were washed twice in methionine-free, Dulbecco's modified Eagle's medium (DME, Irvine Scientific) supplemented with non-essential amino acids (Grand Island Biological) and glutamine (29.2μg/ml). Cells were labeled in 1 ml of DME with 15 μCi [<sup>35</sup>S]methionine (Amersham, Arlington Heights, IL). All labels were done using 3x10<sup>6</sup> cells.

For labeling of secretions,  $^{35}$ S-methionine was added to 15  $\mu$ C/ml and cells were labeled for 3 hours at 37°C. Cells were harvested on to ice and pelleted by centrifugation. To isolate secreted IgG, the radioactive medium was transferred to a clean tube.

For measurement of cytoplasmic IgG, the cell pellet was lysed in 0.5 ml of NDET (1 % NP40, 0.4% deoxycholate, 66mM EDTA and 10mM Tris,

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pH 7.4), nuclei were pelleted by centrifugation, and the cytoplasmic lysate transferred to a fresh tube. To immunoprecipitate the secreted or cytoplasmic IgG, rat anti-mouse kappa sepharose (prepared in the laboratory) was added. The samples were mixed overnight at 4°C, washed in NDET and then washed with dH<sub>2</sub>0. The precipitates were resuspended in sample buffer (25mM Tris, pH 6.7, 2% SDS, 10% glycerol, 0.008% bromophenol blue), and the antibodies were eluted from the sepharose by boiling. The samples were analyzed by SDS-PAGE and autoradiography without reduction on 5% phosphate gels; samples treated with 2-mercaptoethanol were analyzed using 12% tris-glycine gels. Results indicate that all three clones made the same size heavy chain but different size light chains. All three hybridomas were subcloned to ensure homogenous cell populations.

# C. Subcloning

The hybridomas were subcloned on soft agar. A 60mm petri dish was coated with 5 ml of growth media plus 10% J774.2 (a murine macrophage cell line) supernatant plus 0.24% agarose (Sigma). The agarose was allowed to harden and a single cell suspension of hybridoma cells mixed with agarose was layered on top. When colonies were about 64 cells in size, they were overlaid with rabbit anti-mouse  $\gamma$ 2a specific antiserum mixed with agarose. An immune precipitate forms over and partially obscures those clones secreting  $\gamma$ 2a, $\kappa$  antibody. Colonies making the most antibody, were identified and moved up to bulk culture where they were once again biosynthetically labeled.

### 3. Cloning Variable Regions from SWLA Cells

The basic protocol for cloning the variable regions from SWLA cells is outlined below followed by its application to specific SWLA hybridomas.

- (i) Murine mRNA is made from about 5 X 10<sup>6</sup> of both the original and subcloned cells using the Microfast Track Kit from Invitrogen.
- (ii) First strand cDNA is made using oligonucleotides that prime the 5' of the light or heavy chain constant region or that prime to the polyA tail of mRNA.

#### **Basic Protocol:**

- (a) Half of the cDNA is resuspended in 20  $\mu$ l RNase free dH<sub>2</sub>0
- (b) 2 μl of 0.5 mg/ml primer is added; the mixture is incubated @ 60°C for 10 minutes
- (c) The sample is cooled on ice; 8 μl of 5X first strand cDNA buffer, 2 μl of RNasin (Promega), 4 μl of 5mM dNTP, and 0.5 μl of AMV Reverse Transcriptase are then added
- (d) The sample is incubated @ 42°C for 1 hour
- (iii) PCR amplification is done with a number of different light or heavy chain signal peptide primers and primers that hybridize 5' of the light or heavy chain constant region.

#### **PCR Conditions:**

- (a) Denature @ 94°C for 40 sec.
- (b) Anneal @ 60°C for 40 sec.
- (c) Extend @ 72°C for 40 sec.
- (d) Amplify for 30 cycles
- (e) Final Extension at 72°C for 10 min.

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- (iv) The resulting PCR products are cloned into Invitrogen's PCR2.1 vector via the TOPO Cloning Kit.
- (v) Individual clones were sent out for sequencing. The results were analyzed for an open reading frame (ORF) and compared with the known database to ensure that the sequence cloned is a variable region.
- (vi) To check for PCR induced nucleotide alterations in the sequence, steps III to V were repeated so that the sequence of different clones from independent PCR reactions can be compared to ensure the accuracy of the sequence. The sequence data are also used to determine the sequence of the J region primer that needs to be used.
- (vii) The variable region was cloned into the proper light (human kappa) or heavy chain (human IgG1) expression vector.

#### Typical Variable Region Ligation Protocol:

- (a) 1 μg each of vector and insert was cut with either Nhel/EcoRV or Sall/EcoRV
- (b) The relevant fragments were then isolated using Qiagen's Gel Extraction Kit
- (c) The ligation reaction used 4 μl out of 30 μl of vector sample and 6 μl out of 30 μl of insert sample
- (d) 4 to 5 units of T4 DNA ligase was then added for a 20 μl final reaction volume

#### **Typical Transformation Protocol:**

(a) An aliquot of HB101 chemically competent *E. coli* cells were thawed on ice

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- (b) The entire ligation reaction was then added to the cells and incubated on ice for 15 minutes
- (c) The cells were then heat shocked @ 42°C for 1 minute
- (d) 1 ml of LB was added to the cells and the tube was shaken @ 37°C for 1 hour
- (e) The tube was spun down @ 8000 RPM for 2 minutes
- (f) All but 100 μl of supernatant was removed
- (g) The cells were resuspended, plated onto LB+AMP plates, and incubated @ 37°C overnight

# B. Cloning the Variable Regions from SWLA1 cells

In attempting to clone the light chain variable region (VL), PCR product was found using signal peptide primer 442 with constant region primer 450 as shown below. Previous studies have determined that 442 also primes to an endogenous aberrant or non-productive VL, SWLA1 Aberrant VL (SEQ ID NO: 13). Knowing this, attempts were made to enrich for non-aberrant transcripts by restriction digesting the PCR product with PflMI, which recognizes a specific sequence in the aberrant VL. Eventually, one variable region sequence was found to have an ORF. The final PCR product SWLA1 VL (SEQ ID NO: 1) was generated with primer 442 and J region primer 453 as shown below and inserted into the appropriate expression vector. The resulting human kappa expression vector carrying the VL from SWLA1 is named 5936 pAG.

See FIG. 1 Panel A which shows the sequence coding the VL domain and the predicted amino acid sequence (SEQ ID NOS: 1 and 2) and

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FIG. 4 which shows the sequence coding the aberrant VL and the predicted amino acid sequence (SEQ ID NOS: 13 and 14)

442 (SEQ ID NO: 21) 5' GGG GAT ATC CAC ATG GAG ACA GAC ACA CTC CTG CTA T 3'

450 (SEQ ID NO: 22) 5' GCG TCT AGA ACT GGA TGG TGG GAA GAT GG 3'

453 (SEQ ID NO: 23) 5' AGC GTC GAC TTA CGT TTK ATT TCC ARC TTK GTC CC 3'

The cloning of the heavy chain variable region (VH) resulted in finding two unique VHs both with ORFs. One VH uses signal peptide primer 440 and the other uses signal peptide primer 441 as shown below. In both reactions, the heavy chain constant region primer 451 was used. Two final PCRs were done. The first used J region primer 452 with primer 440 which generated SWLA1 VH (SEQ ID NO: 3) and the second used the same J region primer with primer 441 which produced SWLA1 2nd VH (SEQ ID NO: 15) and an aberrant non-productive VH, SWLA1 Aberrant VH (SEQ. ID NO: 17). The resulting human IgG1 expression vectors carrying the two different VHs generated are named 5937 pAH (SWLA1 VH) and 5943 pAH (SWLA1 2nd VH). Only vector 5937 pAH however was found to express an effective full length VH.

The DNA coding the VH domain and the predicted amino acid sequence are shown in FIG. 1 Panel B as SEQ ID NOS: 3 and 4. See FIG. 5 for the non-effective 2nd VH DNA and amino acid sequence (SEQ ID NOS: 15 and 16) and FIG. 6 for the DNA and amino acid sequence for the aberrant VH (SEQ ID NOS: 17 and 18).

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- 440 (SEQ ID NO: 24) 5' GGG GAT ATC CAC ATG RAC TTC GGG
  YTG AGC TKG GTT TT 3'
- 441 (SEQ ID NO: 25) 5' GGG GAT ATC CAC ATG GCT GTC TTG GGG CTG CTC TTC T 3'
- 451 (SEQ ID NO: 26) 5' AGG TCT AGA AYC TCC ACA CAC AGG RRC CAG TGG ATA GAC 3'
- 452 (SEQ ID NO: 27) 5' TGG GTC GAC WGA TGG GGS TGT TGT GCT AGC TGA GGA GAC 3'

#### C. Cloning the Variable Regions from SWLA2 cells

Two PCR products were found in cloning the VL. One product came from primers 442 and 450. The other came from primer 443 and primer 450. A unique VL with an ORF was cloned from the 443 and 450 reaction. The final PCR, which generated the SWLA2 VL (SEQ ID NO: 5), used J region primer 453 with primer 443. The resulting human kappa expression vector carrying the VL from SWLA2 is named 5938 pAG.

See FIG. 2 Panel A which shows the sequence coding the VL domain and the predicted amino acid sequence (SEQ ID NOS: 5 and 6).

443 (SEQ ID NO: 28) 5' GGG GAT ATC CAC ATG GAT TTT CAA GTG CAG ATT TTC AG 3'

Two PCR products were also found in cloning the VH. One product came from primers 439 and 451. The other product came from primers 440 and 451. The transcript from the former reaction turned out to be aberrant, SWLA2 Aberrant VH (SEQ ID NO: 19). The transcript from the latter reaction was missing part of its 5' sequence. After aligning this sequence to several

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similar known VHs, a new leader signal peptide primer 843 was designed as shown below. The final PCR product SWLA2 VH (SEQ ID NO: 7) was generated with primer 843 with J region primer 452. The resulting human IgG1 expression vector carrying the VH from SWLA2 is named 5939 pAH.

The DNA coding the VH domain and the predicted amino acid sequence are shown in FIG. 2 Panel B as SEQ ID NOS: 7 and 8. See FIG. 7 for the DNA and amino acid sequence for the aberrant VH (SEQ ID NOS: 19 and 20).

439 (SEQ ID NO: 29) 5' GGG GAT ATC CAC ATG GRA TGS AGC TGK GTM ATS CTC TT 3'

843 (SEQ ID NO: 30) 5' GGG ATA TCC ACC ATG GRC AGR CTT ACW TYY TCA TTC CTG 3'

# D. <u>Cloning the Variable Regions from SWLA3 cells</u>

The only VL PCR product came from primer combination 442 and 450. Once again the PCR product was digested with PflMI to enrich for non-aberrant transcripts. This procedure didn't help. Another enzyme Eco0109I was used similarly and one transcript was found with the 5' end missing. The sequence was compared to the known database and a new signal peptide primer 826 was designed as shown below. This primer 826 was then used with J region primer 835 shown below to yield the final PCR product SWLA3 VL (SEQ ID NO: 9). It was cloned into a human kappa expression vector and named 5940 pAG.

See FIG. 3 Panel A which shows the sequence coding the VL domain and the predicted amino acid sequence (SEQ ID NOS: 9 and 10).

# 826 (SEQ ID NO: 31) 5' GGG GAT ATC CAC ATG ATG AGT CCT GCC CAG TTC C 3'

835 (SEQ ID NO: 32) 5' GGT CGA CTT AGC TTT CAG CTC CAG
CTT GGT 3'

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The only VH PCR product was obtained from primer combination 440 and 451. The final PCR reaction used primer 440 and J region primer 452 to generate SWLA3 VH (SEQ ID NO: 11). The VH was cloned into a human IgG1 expression vector and named 5941 pAH.

The DNA coding the VH domain and the predicted amino acid sequence are shown in FIG. 3 Panel B as SEQ ID NOS: 11 and 12.

- 4. <u>Generating murine/human chimeric genes which encode humanized monoclonal antibodies against S. mutans.</u>
  - (i) DNA was prepared from the expression vectors and from the plasmid containing the correct V regions. See Current Protocols in Imunology, Section 2.12.1 (1994) for detailed information about the vectors that express the light and heavy chain constant regions.
  - (ii) The expression vector was digested with the appropriate restriction enzyme. The digests were then electrophoresed on an agarose gel to isolate the appropriate sized fragment.
  - (iii) The plasmid containing the cloned V region was also digested and the appropriate DNA fragment containing the V region was isolated from an agarose gel.
  - (iv) The V region and expression vector were then mixed together, T4 DNA ligase was added and the reaction mixture was incubated at 16°C over night.
  - (v) Competent cells were transfected with the ligation mixture and the clones expressing the correct ligation

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sequence were selected. Restriction mapping was used to confirm the correct structure.

# 5. <u>Transfecting eukaryotic cells</u>

10 micrograms of DNA from each expression vector was linearized by BSPC 1 (Stratagene, Pvul isoschizomer) digestion and 1 X 10<sup>7</sup> myeloma cells (Sp2/0 or NSO/1) were cotransfected by electroporation. Prior to transfection the cells were washed with cold PBS, then resuspended in 0.9 ml of the same cold buffer and placed in a 0.4 cm electrode gap electroporation cuvette. 960 microF and 200V were used for electroporation. The shocked cells were then incubated on ice in IMDM medium (Gibco, NY) with 10% calf serum.

The transfected cells were plated into 96 well plates at a concentration of 10<sup>4</sup> cells/well. Selective medium including selective drugs such as histidinol or mycophenolic acid were used to select the cells which contain expression vectors. After 12 days, the supernatants from growing clones were tested for antibody production.

# 6. <u>Analyses of recombinant antibodies</u>

ELISA assay was used to identify transfectomas that secrete human IgG antibodies.  $100 \,\mu l$  of  $5 \,\mu g/ml$  goat anti-human IgG was added to each well of a 96-well ELISA plate and incubated overnight. The plate was washed several times with PBS and blocked with 3% BSA. Supernatants from above growing clones were added to the plate for 2 hours at room temperature. Plates were then washed and anti-human kappa antibody labeled with alkaline phosphatase diluted 1:10,00 in 1% BSA was added for 1 hour at 37° C. Plates were washed with PBS and p-NPP in diethanolamine buffer (9.6% diethanolamine, 0.24 mM MgCl<sub>2</sub>, pH 9.8) was added. Color development at OD<sub>405</sub> was indicative of cells producing  $H_2L_2$ .

For the supernatants that produce humanized IgG constant regions, their reactivity with S. mutans was tested as described in Shi et al., Hybridoma 17:365-371 (1998). Briefly, bacteria strains listed in Table 1 were grown in various media suggested by the American Type Culture Collection. The anaerobic bacteria were grown in an atmosphere of 80% N<sub>2</sub>, 10% CO<sub>2</sub>, and 10% H<sub>2</sub> at 37° C. The specificity of antibodies to various oral bacteria was assayed with ELISA assays. Bacteria were diluted in PBS to OD<sub>600</sub>=0.5, and added to duplicate wells (100 µl) in 96 well PVC ELISA plates preincubated for 4 h with 100 µl of 0.02 mg/ml Poly-L-lysine. These antigen-coated plates were incubated overnight at 4° C in a moist box then washed 3 times with PBS and blocked with 0.5% fetal calf serum in PBS and stored at 4° C. 100 ul of chimeric antibodies at 50 µg/ml were added to the appropriate wells of the antigen plates, incubated for 1 h at RT, washed 3 times with PBS-0.05% Tween 20, and bound antibody detected by the addition of polyvalent goat-anti-human IgG antibody conjugated with alkaline phosphatase diluted 1:1000 with PBS-1% fetal calf serum. After the addition of the substrate, 1 mg/ml p-nitrophenyl phosphate in carbonate buffer (15 mM Na<sub>2</sub>CO<sub>3</sub>, 35 mM NaH<sub>2</sub>CO<sub>3</sub>, 10 mM MgCl<sub>2</sub> pH 9.6), the color development after 15 min was measured in a EIA reader at 405 nm. "+" means OD405>1.0; "-" means OD405<0.05. The negative control is <0.05. Chimeric antibodies used are TEDW (derived from SWLA1), TEFE (derived from SWLA2) and TEFC (derived from SWLA3). The results are given in Table 1.

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TABLE 1

	Reactivity of Chimeric Antibodies to Various Oral Bacterial Strains		
	Oral Bacteria	Strains	Chimeric antibodies
5	S. mutans	AATCC25175 LM7	+ +
		OMZ175	+
	S. Mitis	ATCC49456	-
	S. rattus	ATCC19645	-
10	S. sanguis	ATCC49295	-
	S sobrinus	ATCC6715-B	-
	S. sobrinus	ATCC33478	-
	L. acidophilus	ATCC4356	• .
	L. casei	ATCC11578	-
	L. plantarum	ATCC14917	-
	L. salivarius	ATCC11742	•
	A. actinomycetemcomitans	ATCC33384	-
	A. naeslundi	ATCC12104	-
	A. viscosus	ATCC19246	-
1.0	Fusobacterium nucleatum	ATCC25586	-
15	Porphyromonas gingivalis	ATCC33277	<u>.</u> .

FIG. 8 shows fluorescent microscopy images generated using the chimeric TEDW antibody derived from SWLA1. S. mutans ATCC25175 was grown in Brain-Heart Infusion medium in an atmosphere of 80% N<sub>2</sub>, 10% CO<sub>2</sub>, and 10% H<sub>2</sub> at 37°C. Bacteria were then washed and resuspended in PBS buffer, mixed with various antibodies and examined with light microscopy or fluorescent microscopy. Referring to FIG. 8: Left, chimeric antibodies bind and agglutinate S. mutans cells; middle, chimeric antibodies interact with goat, FITC conjugated anti-human IgG (Fc specific) antibody (Sigma F9512) to give fluorescent image of S. mutans; right, chimeric antibodies do not react with goat, FITC conjugated anti-mouse IgG (Fc specific) antibody (Sigma F5387) and give no fluorescent image of S. mutans. Chimeric antibodies TEFE and

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TEFC were also used and produced results consistent with the TEDW chimeric antibody.

Results from both the flow cytometry and florescent microscopy experiments indicate that each chimeric antibody (TEDW, TEFE, and TEFC) contained both a human IgG constant region and a variable region capable of specifically recognizing *S. mutans*.

- 7. Expressing Monoclonal Antibodies to S. mutans In Transformed Organisms
  - A. <u>Producing human or humanized monoclonal antibodies in animal cells</u>

The heavy and light chain of a human IgG gene are separately introduced or cotransfected into an animal cell line (such as Sp2/0) using electroporation. The transfected cells are plated onto a microtiter plate and incubated at 37° C in a 5% C0<sub>2</sub> atmosphere in medium containing 10% fetal bovine serum. After a 48 h incubation, the cells are grown in selection medium containing histidinol or mycophenolic acid. The supernatants of drug-resistant cells are collected and screened for immuno-reactivity against *S. mutans* using the ELISA or precipitation assays mentioned above.

B. <u>Producing human or humanized monoclonal antibodies in edible plants</u>

Transgenic plants have been recognized as very useful systems to produce large quantities of foreign proteins at very low cost. Expressing human or humanized monoclonal antibodies against *S. mutans* in edible plants (vegetables or fruits) allows direct application of plant or plant extracts to the mouth to treat existing dental caries and to prevent future bacterial infection. The choice of transgenic, edible plants includes, but is not limited to, potato, tomato, broccoli, corn, and banana.

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Presented here are the procedures to produce transgenic Arabidopsis, an edible plant closely related to Brassica species including common vegetables such as cabbage, cauliflower and broccoli. It is chosen because many genetic and biochemical tools have been well developed for this plant. There are several strategies to express IgG in this plant. One strategy is to first introduce the human IgG genes encoding the heavy chain and light chain to two separate transgenic lines. The two genes are brought together by genetic crossing and selection. Other methods involve sequential transformation, in which transgenic lines transformed with one IgG gene are re-transformed with the second gene. Alternatively, genes encoding the heavy chain and light chain are cloned into two different cloning sites in the same T-DNA transformation vector under the control of two promoters, and the expression of both genes can be achieved by the transformation of a single construct to plant. Technically, the separate transformation method is the simplest one and it usually results in higher antibody yield. Therefore, we present this strategy here. It is possible to transform other plants using similar techniques.

The DNA fragments encoding the heavy and light chains of a human IgG gene are separately cloned into a Ti plasmid of Agrobacterium tumefaciens. The plasmid contains a promoter to express human heavy and light chains of IgG in Arabidopsis thaliana, an antibiotic marker for selection in Agrobacterium tumefaciens and an herbicide resistance gene for transformation selection in Arabidopsis. An Agrobacterium tumefaciens strain is transformed with these plasmids, grown to late log phase under antibiotic selection, and resuspended in infiltration medium described by Bethtold et al. (C.R. Acad. Sci. Paris Life Sci. 316:1194-1199, 1993).

Transformation of *Arabidopsis* by Ti-plasmid containing

Agrobacterium tumefaciens is performed through vacuum infiltration. Entire

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plants of Arabidopsis are dipped into the bacterial suspension. The procedure is performed in a vacuum chamber. Four cycles of 5 min vacuum (about 40 cm mercury) are applied. After each application, the vacuum is released and reapplied immediately. After infiltration, plants are kept horizontally for 24 h in a growth chamber. Thereafter, the plants are grown to maturity and their seeds are harvested. The harvested seeds are germinated under unselective growth condition until the first pair of true leaves emerged. At this stage, plants are sprayed with the herbicide Basta at concentration of 150 mg/l in water. The aribidopsis plants containing transformed Ti plasmids are resistant to the herbicide while the untransformed plants are bleached and killed. Such a selection continues to the second generation of the plants. For the resistant plants, total genomic DNA is isolated and probed with the DNA fragments encoding heavy and light chains of the IgG gene. The plant extracts from the positive transformants are prepared and screened for the expression of human IgG protein with Western blot using antibodies against heavy and light chains of constant regions of human IgG.

The plants expressing human IgG heavy chain are sexually crossed with plants expressing human IgG light chain to produce progeny expressing both chains. Western blotting is used to screen the both heavy and light chains. Extracts from positive transformants are collected and screened for immuno-reactivity against *S. mutans* using the ELISA or precipitation assays mentioned above.

8. <u>Using human or humanized monoclonal antibodies against</u>
S. mutans to treat or prevent human dental caries

With the successful completion of the above studies, humanized monoclonal antibodies against *S. mutans* are obtained. The plant tissue is tested for efficacy.

Plant tissue extracts containing monoclonal antibodies to S. mutans are mixed with various concentrations of S. mutans in the presence and absence of purified human complement components or purified human polymorphonuclear neutrophilic leukocytes. After a two hour incubation, the mixtures are plated onto BHI plates to examine the bactericidal activity.

Using the artificial plaque formation system developed by Wolinsky et al., *J. Dent. Res.* 75:816-822 (1996), plant tissue extracts containing monoclonal antibodies are used to examine the ability of the expressed monoclonal antibodies to kill *S. mutans* in saliva or in existing dental plaques on artificial dental enamel. Analogous techniques are used to examine

the ability to prevent the formation of dental plaques.

Human clinical trials are performed using these monoclonal antibodies produced through animal cells or plants. Human volunteers are treated with or without these human monoclonal antibodies against *S. mutans*. Then the level of *S. mutans* in saliva and in dental plaques is examined. The correlation between present and future dental caries in relationship with treatment of monoclonal antibodies is also examined.

It should be understood that the foregoing examples are for illustrative purposes only, and are not intended to limit the scope of applicants' invention which is set forth in the claims appearing below.

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#### Claims

#### What is claimed is:

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- 1. A method for treatment and prevention of dental caries in a mammal comprising oral administration of a genetically engineered antibody, wherein the variable region of the antibody specifically binds to a cariogenic organism and the constant region of the antibody engages the humoral immune effector systems.
- 2. The method for treatment and prevention of dental caries of claim 1 wherein the cariogenic organism is *Streptococcus mutans*.
- 3. The method for treatment and prevention of dental caries of claim 2 wherein the variable region of the light chain of the antibody comprises the nucleic acid sequence of SEQ ID NO: 1.
- 4. The method for treatment and prevention of dental caries of claim 2 wherein the variable region of the heavy chain of the antibody comprises the nucleic acid sequence of SEQ ID NO: 3.
- 5. The method for treatment and prevention of dental caries of claim 2 wherein the variable region of the light chain of the antibody comprises the nucleic acid sequence of SEQ ID NO: 5.
- 6. The method for treatment and prevention of dental caries of claim 2 wherein the variable region of the heavy chain of the antibody comprises the nucleic acid sequence of SEO ID NO: 7.
- 7. The method for treatment and prevention of dental caries of claim 2 wherein the variable region of the light chain of the antibody comprises the nucleic acid sequence of SEQ ID NO: 9.
- 8. The method for treatment and prevention of dental caries of claim 2 wherein the variable region of the heavy chain of the antibody comprises the nucleic acid sequence of SEQ ID NO: 11.
- 9. The method for treatment and prevention of dental caries wherein the variable region of the light chain of the antibody of claim 2 comprises the amino acid sequence of SEQ ID NO: 2.

- 10. The method for treatment and prevention of dental caries wherein the variable region of the heavy chain of the antibody of claim 2 comprises the amino acid sequence of SEQ ID NO: 4.
- 11. The method for treatment and prevention of dental caries wherein the variable region of the light chain of the antibody of claim 2 comprises the amino acid sequence of SEQ ID NO: 6.
- 12. The method for treatment and prevention of dental caries wherein the variable region of the heavy chain of the antibody of claim 2 comprises the amino acid sequence of SEQ ID NO: 8.
- 13. The method for treatment and prevention of dental caries wherein the variable region of the light chain of the antibody of claim 2 comprises the amino acid sequence of SEQ ID NO: 10.
- 14. The method for treatment and prevention of dental caries wherein the variable region of the heavy chain of the antibody of claim 2 comprises the amino acid sequence of SEQ ID NO: 12.
- 15. A method for treatment and prevention of dental caries in a mammal comprising oral administration of a purified antibody, wherein the variable region of the antibody specifically binds to a cariogenic organism and the constant region of the antibody engages the humoral immune effector systems.
- 16. The method for treatment and prevention of dental caries of claim 15 wherein the cariogenic organism is *Streptococcus mutans*.
- 17. The method for treatment and prevention of dental caries of claim 15 wherein the mammal is a human.
- 20 18. The method for treatment and prevention of dental caries of claim 17 wherein the purified antibody is produced through the steps of:
  - immunizing mice which have been genetically altered to produce human antibodies with at least one cariogenic organism;
  - b) generating hybridomas which secrete antibodies specific to at least one cariogenic organism; and

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isolating the antibodies of step b). c) 19. The method for treatment and prevention of dental caries of claim 17 wherein the purified antibody is produced through the steps of: immunizing isolated human B lymphocytes in a) vitro with at least one cariogenic organism; generating hybridomas which secrete antibodies b) specific to at least one cariogenic organism; isolating the antibodies of step b). c) 20. The method for treatment and prevention of dental caries of claim 17 wherein the purified antibody is produced through the steps of: isolating B lymphocytes from humans with an a) acute infection of at least one cariogenic organism; generating hybridomas which secrete antibodies b) specific to at least one cariogenic organism; and isolating the antibodies of step b). c) 21. The method for treatment and prevention of dental caries of claim 17 wherein the purified antibody is produced through the steps of: isolating the genetic sequence that codes for the a) expression of said variable region; cloning the genetic sequence that codes for the b) expression of said variable region; linking the genetic sequence that codes for the c) expression of said variable region to the genetic sequence that codes for the expression of said

constant region;

d)

e)

expressing said linked sequence; and

isolating the expressed antibodies of step d).

- 22. The method for treatment and prevention of dental caries of claim 21 wherein step a) is accomplished by screening a phage display random library.
- 23. The method for treatment and prevention of dental caries of claim 21 wherein the genetic sequence that codes for the expression of said constant region in step c) is derived from IgG or IgM antibodies.
- 24. The method for treatment and prevention of dental caries of claim 21 wherein the expression of said linked sequence in step d) is conducted in an expression system selected from a group comprising animal, human, chicken egg, or plant.

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# FIG. 1

# A SWLA1: LIGHT CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE VL DOMAIN OF CHIMERIC ANTIBODY TEDW

EcoRV (242) GGGGATAT CCACCATGGAGACACACACACTCCTGCTATGGGTGCTGCTGCTCTGGGTTCCAGGTTCCACAGGTGACATTGT LWVPGST • M E T D T L L L W V L L GDIVPstl (377) GCTGACCCAATCTCCAGTTTCTTTGGCTGTGTCTCTAGGGCAGAGGGCCACCATATCCTGCAGAGCCAGTGAAAGTGTTGA L T Q S P V S L A V S L G Q R A T I S C R A S E S V D Kpnl (427) TAGTTATGGCAATAGTTTTATGAACTGGTACCAGCAGAAACCAGGACAGCCCCCAACTCCTCATCTATCGTGCATCCAA SYGNSFMNWYQQKPGQPPQL Xbal (482) TCTAGAATACGGGATCCCTGCCAGGTTCAGTGGCAGTGGGTCTAGGACAGACTTCACCCTCACCATTAATCCTGTGGAGGC LEYGIPARFSGSGSRTDFTLTINPVEA TGATGATGTTGCAACCTATTACTGTCAGCAAAATAATGCGGATCCTCCCACGTTCGGAGGGGGGGCCAAGTTGGAAATCAA D D V A T Y Y C Q Q N N A D P P T F G G G T K L E I K Sall (650) ACGTAAGTCGACGCT

# B SWLA1: HEAVY CHAIN SEQUENCE DNA AND AMINO ACID SEQUENCE OF THE VH DOMAIN OF CHIMERIC ANTIBODY TEDW

EcoRV (242)

RKS

GGGGATATCCACCATGGCTGTCTTGGGGGCTGCTCTTCTGCCTGGTGACATTCCCAAGCTGTGTCCTGTCCCAGGTGC

MAVLGLLFCLVTFPSCVLSQV

AGCTGAAGGAGTCAGGACCTGGCCTGGTGGCGCCCTCACAGAGCCTGTCCATCACATGCACTGTCTCAGGGTTCTCA

PQLKESGPGLVAPSQQSLSITCTTCACACACTGCACTGTCTCAGGGTTCTCA

PQLKESGPGLVAPSQQTTCGCCAGCAAAGGGTCTGGAGTGGCTGGGAATAATATGGGGTGA

PLTNYDINWVRQPPGKGLEWLGIIWGD

CGGGAGCACAAATTATCATTCAGCTCTCATATCCAGACTGAGCATCAGCAAGGGATAACTCCAAGAGCCAAATTTTCT

GSTNYHSALISRUSKDAAACTGATGACACAGCCACGTACTACTGTAACTACCCGTGTTTATATTTCTATGGTATG

PLKLNSLQTDDDTATYYCNYPCCNYPCLYFYGM

Nhel(663)

Sall(684)

GACTACTGGGGTCAAGGAACCTCAGTCACCGTCTCTTCAGCTAGCACAACAGCCCCATCAGTCGACCCA

### A

# SWLA2: LIGHT CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE VL DOMAIN OF CHIMERIC ANTIBODY TEFE

EcoRV (243)

GGGGATATCCACCATGGATTTTCAGGTGCAGATTTTCAGCTTCCTGCTAATCAGTGTCACAGTCATATTGACCAATGGAGAAA

M D F Q V Q I F S F L L I S V T V I L T N G E

BstEll (372) Pstl (384)

TTTTGCTCACCCCGTCTCCAGCAATCATAGCTGCATCTCCTGGGGAAAAGGTCACCATCACCTGCAGTGCCAGCTCAAGTGTT

L L T P S P A I I A A S P G E K V T I T C S A S S S V

Kool (419)

AGTTACATGAACTGGTACCAGCAGAAACCAGGATCTTCCCCCCAAAATCTGGATTTATGGTGTATCCAACCTGGCTTCTGGAGT

S Y M N W Y Q Q K P G S S P K I W I Y G V S N L A S G V

TCCTGCTCGCTTCAGTGGCAGTGGGACATCTTTCTCTTTCACAATCAACAGCATGGAGGCTGAAGATGTTGCCACTT

P A R F S G S G S G T S F S F T I N S M E A E D V A T

Sall (642)

ATTACTGTCAGCAAAGGAGTAGTTACCCATTCACGTTCGGCTCGGGGACCAAGCTGGAAATAAAACGTAAGTCGACGCT

Y Y C Q Q R S S Y P F T F G S G T K L E I K R K S

## B SWLA2: HEAVY CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE VH DOMAIN OF CHIMERIC ANTIBODY TEFE

EcoRV (242)

Ndel (295)

AATAAGTACTATAACACAGTCCTGAAGAGCCGCTCACAATCTCCAAGGATACCTCCAACAACCAGGTATTCCTCAAGAT

N K Y Y N T V L K S R L T I S K D T S N N Q V F L K I

CGCCAGTGTGGACACTGCAGATACTGCCACATACTACTGTGCGCGAATAGAGGGGGGGCTCGGGCTACGATGTTATGGACT

A S V D T A D T A T Y Y C A R I E G G S G Y D V M D

Nhel (675)

Sall (696)

ACTGGGTCAAGGAATCTCAGTCACCGTCTCTTCAGCTAGCACAACACCCCCATCTGTCGACCCA
Y W G Q G I S V T V S S A S

## A SWLA3: LIGHT CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE VL DOMAIN OF CHIMERIC ANTIBODY TEFC

E00RV (242)

M M S P A Q F L F L L V L W I R E T N G D V V

BsEI(347)

AAACGTAAGTCGACC

KRKS

## SWLA3: HEAVY CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE VH DOMAIN OF CHIMERIC ANTIBODY TEFC

EcoRV (1425)

B

TACCATGICTICGCTACCCAGACTCCOGAGAAGAGGCCTGGAGTGGGTGCCATCCATTAGTAGTGGTGGTACTTACACCTA

T M S W V R Q T P E K R L E W V A S I S S G G T Y T Y

CTATCCAGACAGTGTGAAGGGCGGATTCACCATCTCCAGAGACAATGCCAAGAACACCCTGTACCTGCAAATGACCAGTCT

Y P D S V K G R F T I S R D N A K N T L Y L Q M T S L

GAAGTCTGAGGACACAGCCATGTATTACTGTTCAAGAGATGACGGCTCCTACGGCTCCTATTACTATGCTATGGACTACTG

K S E D T A M Y Y C S R D D G S Y G S Y Y Y A M D Y W

Nhel (1861)

# SWLA1: LIGHT CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE ABERRANT VL DOMAIN

E∞RI E∞RV

TCCACTGGTGACATTGTGCTGACACAGTCTCCTGCTTCCTTAGCTGTATCTCTGGGGCAGAGGGGCCACCATCTCATAC

S T G D I V L T Q S P A S L A V S L G Q R A T I S Y

AGGGCCAGCAAAAGTGTCAGTACATCTGGCTATAGTTATATGCACTGGAACCAACAGAAACCAGGACAGCCACCCAGA

R A S K S V S T S G Y S Y M H W N Q Q K P G Q P P R

EcoO1091

CTCCTCATCTATCTTGTATCCAACCTAGAATCTGGGGTCCCTGCCAGGTTCAGTGGCAGTGGGTCTGGGACAGACTTC

L L I Y L V S N L E S G V P A R F S G S G S G T D F

Pfimi

ACCCTCAACATCCATCCTGTGGAGGAGGAGGATGCTGCAACCTATTACTGTCAGCACATTAGGGAGCTTACACGTTCG

T L N I H P V E E E D A A T Y Y C Q H I R E L T R S
GAGGGGGGACCAAGCTGGAAATAAAACGGNCTNATGCTGCACCAACTGTATCCATCTTNAAAANCATCAGTTCTAGAG

E G G P S W K •

EcoRI AAGGGCGAATTCC

# **FIG.** 5

SWLA1: HEAVY CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE NON-EFFECTIVE 2ND VH DOMAIN

EcoRV (242)

NNYATYYADSVEDRFTISRDDSQSML CIATCIGCAAATGAACAGCTGAAAACTGAGGACACGCCATGTATTACTGTGTGAGAAACTACTATGATTACGACGC

Y L Q M N N L K T E D T A M Y Y C V R N Y Y D Y D A

Nhel (675)

CTOGTCCGCTTACTGGGGCCAAGGGACTGTGGTCACTGTCTCTTCAGCTAGCACACACCCCCATCAGTCTACCCA

W S A Y W G Q G T V V T V S S A S

SWLA1: HEAVY CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE

ABERRANT VH DOMAIN

**EcoRV EcoRi** ▶ M E T D T L L L W V L L L W V P G TCCACTGGTGACATTGTGCTGACACAGTCTCCTGCTTCCTTAGCTGTATCTCTGGGGCAGAGGGCCACCATCTCATAC ▶ S T G D I V L T Q S P A S L A V S L G Q R A T I S Y AGGGCCAGCAAAAGTGTCAGTACATCTGGCTATAGTTATATGCACTGGAACCAACAGAAACCAGGACAGCCACCCAGA ▶ RASKS V S T S G Y S Y M H W N Q Q K P G Q P P R EcoO1091 CTCCTCATCTATCTTGTATCCAACCTAGAATCTGGGGTCCCTGCCAGGTTCAGTGGCAGTGGGTCTGGGACAGACTTC LLIYLVSNLESGVPARFSGSGSGTDF ACCCTCAACATCCATCCTGTGGAGGAGGAGGATGCTGCAACCTATTACTGTCAGCACATTAGGGAGCTTACACGTTCG T L N I H P V E E E D A A T Y Y C Q H I R E L T R S GAGGGGGGACCAAGCTGGAAATAAAACGGNCTNATGCTGCACCAACTGTATCCATCTTNAAAANCATCAGTTCTAGAG ▶ E G G P S W K • EcoRI **AAGGGCGAATTCC** 

# *FIG.* 7

SWLA2: HEAVY CHAIN SEQUENCE

DNA AND AMINO ACID SEQUENCE OF THE

ABERRANT VH DOMAIN

EcoRI EcoRV

GGAATTCGCCCTTGGGGATATCCACCATGGGATGGAGCTGGGTCATGCTCTTTCTCCTGGCAGGAACTGCAGGTGTCCT

M G W S W V M L F L L A G T A G V L

ECORV

CTCTGAGGTCCAGCTGCAACAGTCTGGACCTGAGCTGGTGAAGCCTGGGGCTTCAGTGAAGATATCCTGCAAGACTTCT

SEVQLQQSGPELVKPGAACAGGCCATGGAAAGAGGCCTTGAGTGGATTGGAGGTATTA

GGATACACATTCACTGAATACAACATGCACTGGGTGAAACAGGGCCATGGAAAGAGGCCTTGAGTGGATTGGAGGTATTA

GYTFTEYNMHWVKQSHGKSLEWIGGI

ATCCTAACAATGGTGGTACTAGTTACAACCAGAAGTTCAAGGCCAAGGCCACATTGACTGTAGACAAGTCCTCCAGCAC

NPNNGGTSYNQKKFKAKATLTTACAACCAGAAGTTCTGAGGCCAAGGCCACATTGACTGTAGACAAGTCCTCCAGCAC

NPNNGGTSYNQKKFKAKATTTACTGTGCAAGGGGGGTTTATGATGGTTA

AYMELRNLTSEDSAVYYCARGCCCAAACAGGCCCAACCTGAGCACCACTCTCACAGTCTCTCACAGCCAAAACAACAGCCCCATCGGTCTATCCAC

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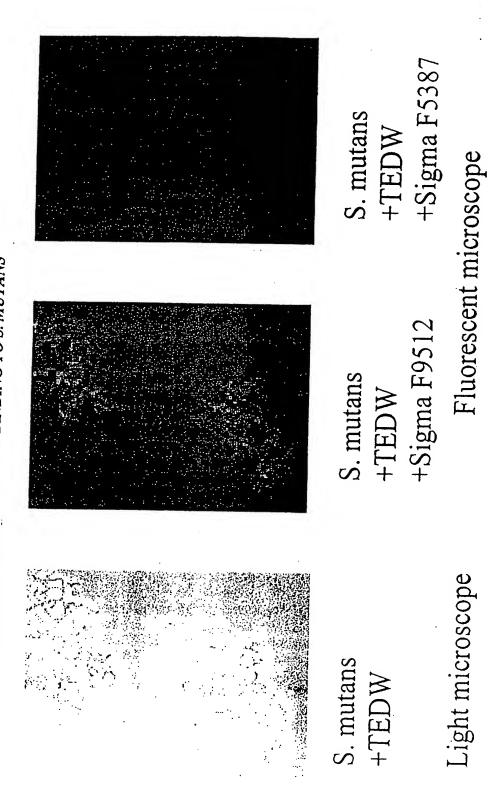
SLLTTGAKAACAACAGCCCCTGACATCTCACAGTCTCCTCAGCCAAAACAACAGCCCCATCGGTCTATCCAC

WPL

TGGCCCCTG

FIG. 8

LIGHT AND FLUORESCENT MICROSCOPE IMAGES CHIMERIC ANTIBODY TEDW BINDING TO S. MUTANS



Method for the Treatment and Prevention of Dental Caries.ST25.txt SEQUENCE LISTING

<110> SHI, WENYUAN ANDERSON, MAXWELL MORRISON, SHERIE TRINH, RYAN WIMS, LETITIA CHEN, LI

<120> Method for the Treatment and Prevention of Dental Caries

22851-032 <130>

<150> US 07/378,577

<151> 1999-08-20

<160> 32

<170> PatentIn version 3.0

<210> 1

<211> 420

<212> DNA

<213> Murine

<220>

<221> CDS

<222> (14)..(415)

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1

ctc tgg gtt cca ggt tcc aca ggt gac att gtg ctg acc caa tct cca Leu Trp Val Pro Gly Ser Thr Gly Asp Ile Val Leu Thr Gln Ser Pro 15

20

25

gtt tet ttg get gtg tet eta ggg cag agg gee ace ata tee tge aga 45 Val Ser Leu Ala Val Ser Leu Gly Gln Arg Ala Thr Ile Ser Cys Arg

2

3

Method for the Treatment and Prevention of Dental Caries.ST25.txt

gcc agt gaa agt gtt gat agt tat ggc aat agt ttt atg aac tgg tac 1
93
Ala Ser Glu Ser Val Asp Ser Tyr Gly Asn Ser Phe Met Asn Trp Tyr
45 50 55 60

cag cag aaa cca gga cag cca ccc caa ctc ctc atc tat cgt gca tcc 41 Gln Gln Lys Pro Gly Gln Pro Pro Gln Leu Leu Ile Tyr Arg Ala Ser 65 70 75

aat cta gaa tac ggg atc cct gcc agg ttc agt ggc agt ggg tct agg 2
89
Asn Leu Glu Tyr Gly Ile Pro Ala Arg Phe Ser Gly Ser Gly Ser Arg
80
85
90

aca gac ttc acc ctc acc att aat cct gtg gag gct gat gat gtt gca 37
Thr Asp Phe Thr Leu Thr Ile Asn Pro Val Glu Ala Asp Asp Val Ala
95 100 105

acc tat tac tgt cag caa aat aat gcg gat cct ccc acg ttc gga ggg
85
Thr Tyr Tyr Cys Gln Gln Asn Asn Ala Asp Pro Pro Thr Phe Gly Gly
110
115
120

ggg acc aag ttg gaa atc aaa cgt aag tcg acgct
20
Gly Thr Lys Leu Glu Ile Lys Arg Lys Ser
125
130

<210> 2 <211> 134

Method for the Treatment and Prevention of Dental Caries.ST25.txt <212> PRT <213> Murine

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Gly Ser Thr Gly Asp Ile Val Leu Thr Gln Ser Pro Val Ser Leu Ala 20 25 30

Val Ser Leu Gly Gln Arg Ala Thr Ile Ser Cys Arg Ala Ser Glu Ser 35 40 45

Val Asp Ser Tyr Gly Asn Ser Phe Met Asn Trp Tyr Gln Gln Lys Pro 50 55

Gly Gln Pro Pro Gln Leu Leu Ile Tyr Arg Ala Ser Asn Leu Glu Tyr 65 70 75 80

Gly Ile Pro Ala Arg Phe Ser Gly Ser Gly Ser Arg Thr Asp Phe Thr 85 90 95

Leu Thr Ile Asn Pro Val Glu Ala Asp Asp Val Ala Thr Tyr Tyr Cys
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Gln Gln Asn Asn Ala Asp Pro Pro Thr Phe Gly Gly Gly Thr Lys Leu 115 120 125

Glu Ile Lys Arg Lys Ser 130

<210> 3

<211> 454

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Method for the Treatment and Prevention of Dental Caries.ST25.txt (14)..(430)

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ttc cca agc tgt gtc ctg tcc cag gtg cag ctg aag gag tca gga cct Phe Pro Ser Cys Val Leu Ser Gln Val Gln Leu Lys Glu Ser Gly Pro

5

15

20

25

ggc ctg gtg gcg ccc tca cag agc ctg tcc atc aca tgc act gtc tca Gly Leu Val Ala Pro Ser Gln Ser Leu Ser Ile Thr Cys Thr Val Ser 30 35 40

ggg ttc tca tta acc aac tat gat ata aat tgg gtt cgc cag cct cca Gly Phe Ser Leu Thr Asn Tyr Asp Ile Asn Trp Val Arg Gln Pro Pro 45

50 55 60

gga aag ggt ctg gag tgg ctg gga ata ata tgg ggt gac ggg agc aca Gly Lys Gly Leu Glu Trp Leu Gly Ile Ile Trp Gly Asp Gly Ser Thr

> 65 70 75

aat tat cat tca gct ctc ata tcc aga ctg agc atc agc aag gat aac Asn Tyr His Ser Ala Leu Ile Ser Arg Leu Ser Ile Ser Lys Asp Asn 80 85 90

tcc aag agc caa att ttc tta aaa ctg aac agt ctg caa act gat gac Ser Lys Ser Gln Ile Phe Leu Lys Leu Asn Ser Leu Gln Thr Asp Asp Page 4

# Method for the Treatment and Prevention of Dental Caries.ST25.txt 95 100 105

aca gcc acg tac tac tgt aac tac ccg tgt tta tat ttc tat ggt atg

Thr Ala Thr Tyr Tyr Cys Asn Tyr Pro Cys Leu Tyr Phe Tyr Gly Met

110 115 120

gae tae tgg ggt caa gga ace tea gte ace gte tet tea get age 30

Asp Tyr Trp Gly Gln Gly Thr Ser Val Thr Val Ser Ser Ala Ser

125 . 130 135

acaacagccc catcagtcga ccca 54

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<211> 139

<212> PRT

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Met Ala Val Leu Gly Leu Leu Phe Cys Leu Val Thr Phe Pro Ser Cys 1 5 10 15

Val Leu Ser Gln Val Gln Leu Lys Glu Ser Gly Pro Gly Leu Val Ala 20 25 30

Pro Ser Gln Ser Leu Ser Ile Thr Cys Thr Val Ser Gly Phe Ser Leu 35 40 45

Thr Asn Tyr Asp Ile Asn Trp Val Arg Gln Pro Pro Gly Lys Gly Leu 50 55 60

Glu Trp Leu Gly Ile Ile Trp Gly Asp Gly Ser Thr Asn Tyr His Ser 65 70 75 80

្ត ម៉ឺ

Method for the Treatment and Prevention of Dental Caries.ST25.txt

Ala Leu Ile Ser Arg Leu Ser Ile Ser Lys Asp Asn Ser Lys Ser Gln
85 90 95

Ile Phe Leu Lys Leu Asn Ser Leu Gln Thr Asp Asp Thr Ala Thr Tyr
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Tyr Cys Asn Tyr Pro Cys Leu Tyr Phe Tyr Gly Met Asp Tyr Trp Gly 115 120 125

Gln Gly Thr Ser Val Thr Val Ser Ser Ala Ser 130 135

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1

5

10

atc agt gtc aca gtc ata ttg acc aat gga gaa att ttg ctc acc ccg 97 Ile Ser Val Thr Val Ile Leu Thr Asn Gly Glu Ile Leu Leu Thr Pro

15 20

25

tct cca gca atc ata gct gca tct cct ggg gaa aag gtc acc atc acc 45
Ser Pro Ala Ile Ile Ala Ala Ser Pro Gly Glu Lys Val Thr Ile Thr
30 35 40

															ST25 aaa.	. txt	1
93	_	-	_												Lys		
45					50					55		٠			60		
222	~~~	tot	taa	ccc	222	atc	taa	att	tat	aat	ata	tee	aac	cta	act		2
41													Asn				
	Gly	JCI	501	65	270				70	2				75			
•																	
tct 89	gga	gtt	cct	gct	cgc	ttc	agt	ggc	agt	ggg	tct	ggg	aca	tct	ttc		2
	Gly	Val	Pro	Ala	Arg	Phe	Ser	Gly	Ser	Gly	Ser	Gly	Thr	Ser	Phe		
			80					85				•	90				
tct	ttc	aca	atc	aac	agc	atg	gag	gct	gaa	gat	gtt	gcc	act	tat	tac		3
37 Ser	Phe	Thr	Ile	Asn	Ser	Met	Glu	Ala	Glu	Asp	Val	Ala	Thr	Tyr	Tyr		
		95					100					105					
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85	_			_	_								ggg				3
Cys		Gin	Arg	ser	ser		PIO	Pne	THE	Pne		ser	Gly	THE	пуѕ		
	110	-	•			115					120						
ctg 11	gaa	ata	aaa	cgt	aag	tcg	acgo	ct									4
	Glu	Ile	Lys	Arg	Lys	Ser											
125					130												

<210> 6 <211> 131 <212> PRT <213> Murine

Page 7

Method for the Treatment and Prevention of Dental Caries.ST25.txt

Met Asp Phe Gln Val Gln Ile Phe Ser Phe Leu Leu Ile Ser Val Thr.

10 15

Val Ile Leu Thr Asn Gly Glu Ile Leu Leu Thr Pro Ser Pro Ala Ile 20 25 30

Ile Ala Ala Ser Pro Gly Glu Lys Val Thr Ile Thr Cys Ser Ala Ser 35 40 45

Ser Ser Val Ser Tyr Met Asn Trp Tyr Gln Gln Lys Pro Gly Ser Ser 50 55 60

Pro Lys Ile Trp Ile Tyr Gly Val Ser Asn Leu Ala Ser Gly Val Pro 65 70 75 80

Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Ser Phe Ser Phe Thr Ile.
85 90 95

Asn Ser Met Glu Ala Glu Asp Val Ala Thr Tyr Tyr Cys Gln Gln Arg

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Arg Lys Ser 130

<210> 7

<211> 465

<212> DNA

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Met Asp Arg Leu Thr Ser Ser Phe Leu Leu Ile Val

1

1 5 10

cct gca tat gtc ctc tcc cag gtt act ctg aaa gag tct ggc cct ggg
99
Pro Ala Tyr Val Leu Ser Gln Val Thr Leu Lys Glu Ser Gly Pro Gly
15
20
25

ata ttg cag ccc tcc cag acc ctc agt ctg act tgt tct ttc tct ggg
47
Ile Leu Gln Pro Ser Gln Thr Leu Ser Leu Thr Cys Ser Phe Ser Gly
30
35
40
45

ttt tca ctg aga act tat ggt ata gga gta ggc tgg att cgt cag cct 1
95
Phe Ser Leu Arg Thr Tyr Gly Ile Gly Val Gly Trp Ile Arg Gln Pro
50 55 60

tca ggg agg ggt ctg gag tgg ctg gca cac att tgg tgg aat gat aat 2
43
Ser Gly Arg Gly Leu Glu Trp Leu Ala His Ile Trp Trp Asn Asp Asn
65
70
75

aag tac tat aac aca gtc ctg aag agc cgg ctc aca atc tcc aag gat 2
91
Lys Tyr Tyr Asn Thr Val Leu Lys Ser Arg Leu Thr Ile Ser Lys Asp
80
85
90

acc tcc aac aac cag gta ttc ctc aag atc gcc agt gtg gac act gca 3

Thr Ser Asn Asn Gln Val Phe Leu Lys Ile Ala Ser Val Asp Thr Ala

95 100 105

	~
	නුද් දිරි මුදු දිරුණ

Method for the Treatment and Prevention of Dental Caries.ST25.txt

gat act gcc aca tac tgt gcg cga ata gag ggg ggc tcg ggc tac 3

Asp Thr Ala Thr Tyr Tyr Cys Ala Arg Ile Glu Gly Gly Ser Gly Tyr

110 115 120 125

gat gtt atg gac tac tgg ggt caa gga atc tca gtc acc gtc tct tca 35
Asp Val Met Asp Tyr Trp Gly Gln Gly Ile Ser Val Thr Val Ser Ser 130 135

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<211> 143

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<400> 8

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Pro Ser Gln Thr Leu Ser Leu Thr Cys Ser Phe Ser Gly Phe Ser Leu 35 40 45

Arg Thr Tyr Gly Ile Gly Val Gly Trp Ile Arg Gln Pro Ser Gly Arg 50 55 60

Gly Leu Glu Trp Leu Ala His Ile Trp Trp Asn Asp Asn Lys Tyr Tyr
65 70 75 80

Method for the Treatment and Prevention of Dental Caries.ST25.txt

Asn Thr Val Leu Lys Ser Arg Leu Thr Ile Ser Lys Asp Thr Ser Asn 85 90 95

Asn Gln Val Phe Leu Lys Ile Ala Ser Val Asp Thr Ala Asp Thr Ala 100 105 110

Thr Tyr Tyr Cys Ala Arg Ile Glu Gly Gly Ser Gly Tyr Asp Val Met
115 120 125

Asp Tyr Trp Gly Gln Gly Ile Ser Val Thr Val Ser Ser Ala Ser 130 135 140

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1

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tgg att cgg gaa acc aac ggt gat gtt gtg atg acc cag act cca ctc 99 Trp Ile Arg Glu Thr Asn Gly Asp Val Val Met Thr Gln Thr Pro Leu

15 20 25

act ttg tcg gtt acc att gga caa cca gcc tcc atc tct tgc aag tca 47 Thr Leu Ser Val Thr Ile Gly Gln Pro Ala Ser Ile Ser Cys Lys Ser 30



	Meth	od f	or t	he T	reat	ment	and	Pre	vent	ion	of D	enta:	l Ca	ries	. ST25	.txt	
agt 95	cag	g ago	cto	e tta	a gat	cgt	gat	gga	agg	aca	tat	ttg	agt	tgg	ttg		1
Sei	Gli	ı Sei	: Le	ı Let	ı Ası	Arg	Asp	Gly	' Arg	Thr	Tyr	Leu	Ser	Trp	Leu		
				50					55					60			
tta	cac	r ago	ı cca	a a a a	· cac	, tat	003	220		at a		. 4 4.					
43												tat					2
Leu	Gln	Arg	Pro	Gly	Gln	Ser	Pro	Lys	Arg	Leu	Ile	Tyr	Leu	Val	Ser		
			65					70					75				
aaa	cta	gac	tet	aas	ata	aat	<b>~</b> 3~	344	tta	2 4 4				•			
91												agt					2
ьуs	ren		Ser	Gly	Val	Pro	Asp	Arg	Phe	Thr	Gly	Ser	Gly	Ser	Gly		
		80					85					90					
aca	gat	ttc	aca	ctg	aaa	atc	agc	aga	ata	gag	act	gag	aat	tta	aa s		2
39																	3
			****	De u	шуз		261	AIG	Val	GIU	Ala	Glu	Asp	Leu	Gly		
	95					100			•		105					٠	
gtt	tat	tat	tgc	tgg	caa	ggt	aca	cat	ttt	ccg	ctc	acg	ttc	ggt	gct		3
0 /												Thr					
110			•		115					120					125		
																•	
999 20	acc	aag	ctg	gag	ctg	aaa	cgt	aag	tcg	acc							4
Gly	Thr	Lys	Leu	Glu	Leu	Lys	Arg	Lys	Ser								
				130					135								

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Method for the Treatment and Prevention of Dental Caries.ST25.txt

Met Met Ser Pro Ala Gln Phe Leu Phe Leu Leu Val Leu Trp Ile Arg 1 5 10 15

Glu Thr Asn Gly Asp Val Val Met Thr Gln Thr Pro Leu Thr Leu Ser 20 25 30

Val Thr Ile Gly Gln Pro Ala Ser Ile Ser Cys Lys Ser Ser Gln Ser 35 40 45

Leu Leu Asp Arg Asp Gly Arg Thr Tyr Leu Ser Trp Leu Leu Gln Arg 50 55 60

Pro Gly Gln Ser Pro Lys Arg Leu Ile Tyr Leu Val Ser Lys Leu Asp 70 75 80

Ser Gly Val Pro Asp Arg Phe Thr Gly Ser Gly Ser Gly Thr Asp Phe 85 90 95

Thr Leu Lys Ile Ser Arg Val Glu Ala Glu Asp Leu Gly Val Tyr Tyr
100 105 110

Cys Trp Gln Gly Thr His Phe Pro Leu Thr Phe Gly Ala Gly Thr Lys
115 120 125

Leu Glu Leu Lys Arg Lys Ser 130 135

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<222> (11) . . (442)

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49
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tta aaa ggt gtc cag tgt gac gtg aag ctg gtg gag tct ggg gga ggc 97 Leu Lys Gly Val Gln Cys Asp Val Lys Leu Val Glu Ser Gly Gly Gly 15

tta gtg aac cct gga ggg tcc ctg aaa ctc tcc tgt gca gcc tct gga 1
45
Leu Val Asn Pro Gly Gly Ser Leu Lys Leu Ser Cys Ala Ala Ser Gly
30 35 40 45

ttc act ttc agt agc tat acc atg tct tgg gtt cgc cag act ccg gag
93
Phe Thr Phe Ser Ser Tyr Thr Met Ser Trp Val Arg Gln Thr Pro Glu
50
55
60

aag agg ctg gag tgg gtc gca tcc att agt agt ggt ggt act tac acc 41 Lys Arg Leu Glu Trp Val Ala Ser Ile Ser Ser Gly Gly Thr Tyr Thr 65 70 75

tac tat cca gac agt gtg aag ggc cga ttc acc atc tcc aga gac aat
89
Tyr Tyr Pro Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn
80
85
90

gcc aag aac acc ctg tac ctg caa atg acc agt ctg aag tct gag gac 3.
Ala Lys Asn Thr Leu Tyr Leu Gln Met Thr Ser Leu Lys Ser Glu Asp
95 100 105



### Method for the Treatment and Prevention of Dental Caries.ST25.txt

aca 85	gcc	atg	tat	tac	tgt	tca	aga	gat	gac	ggc	tcc	tac	ggc	tcc	tat	3
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110					115					120					125	
												ě				
tac 33	tat	gct	atg	gac	tac	tgg	ggt	caa	gga	acc	tca	gtc	acc	gtc	tct	4
	Tyr	Ala	Met	Asp	Tyr	Trp	Gly	Gln	Gly	Thr	Ser	Val	Thṛ	Val	Ser	
				130	. •				135					140		

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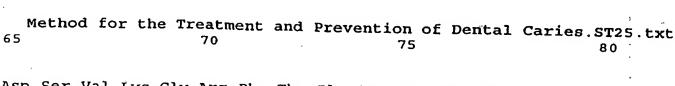
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Pro Gly Gly Ser Leu Lys Leu Ser Cys Ala Ala Ser Gly Phe Thr Phe 35 40 45

Ser Ser Tyr Thr Met Ser Trp Val Arg Gln Thr Pro Glu Lys Arg Leu 50 55 60

Glu Trp Val Ala Ser Ile Ser Ser Gly Gly Thr Tyr Thr Tyr Pro Page 15



Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Ala Lys Asn 85 90 95

Thr Leu Tyr Leu Gln Met Thr Ser Leu Lys Ser Glu Asp Thr Ala Met 100 105 110

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115 120 125

Met Asp Tyr Trp Gly Gln Gly Thr Ser Val Thr Val Ser Ser Ala Ser 130 135 140

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Method for the Treatment and Prevention of Dental Caries.ST25.txt <222> (423)..(423) <223> atgc

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02 Va:	Leu	Leu	Leu	Trp	Val	Pro	Gly	Ser	Thr	Gly	Asp	Ile	Val	Leu	Thr	
10					15					20					25	
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	ser	Pro	Ala	Ser	Leu	Ala	Val	Ser	Leu	Gly	Gln	Arg	Ala	Thr	Ile	
				30					35					40	•	
tca 98	tac	agg	gcc	agc	aaa	agt	gtc	agt	aca	tct	ggc	tat	agt	tat	atg	1
	Tyr	Arq	Ala	Ser	Lvs	Ser	Val	Ser	Thr	Ser	Gly	Tyr	Ser	Tyr	Met	
	- 4										4	•		-		
	- 4	3	45					50			•	•	55	•		
		· · · 3			•						•	•		•		
cad	tgg		45					50					55			2
ca(		aac	45	cag	aaa	cca	gga	50 cag	cca	ccc	aga	ctc	55 ctc	atc	tat	2
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ca(	tgg	aac Asn	45	cag	aaa	cca	gga Gly	50 cag	cca	ccc	aga	ctc Leu	55 ctc	atc	tat	2
cac 46 His	tgg	aac Asn	45 caa Gln	cag Gln	aaa Lys	cca Pro	gga Gly 65	50 cag Gln	cca Pro	ccc Pro	aga Arg	ctc Leu 70	55 ctc Leu	atc Ile	tat Tyr	2
cac 46 His	tgg Trp	aac Asn 60	45 caa Gln	cag Gln cta	aaa Lys gaa	cca Pro	gga Gly 65	50 cag Gln gtc	cca Pro	ccc Pro	aga Arg	ctc Leu 70	55 ctc Leu	atc Ile	tat Tyr agt	
cac 46 His	tgg Trp	aac Asn 60	45 caa Gln	cag Gln cta	aaa Lys gaa	cca Pro	gga Gly 65	50 cag Gln gtc	cca Pro	ccc Pro	aga Arg	ctc Leu 70	55 ctc Leu	atc Ile	tat Tyr agt	
cac 46 His	tgg Trp gta	aac Asn 60	45 caa Gln	cag Gln cta	aaa Lys gaa	cca Pro tct Ser	gga Gly 65	50 cag Gln gtc	cca Pro	ccc Pro	aga Arg agg Arg	ctc Leu 70	55 ctc Leu	atc Ile	tat Tyr agt	



Method for the Treatment and Prevention of Dental Caries.ST25.txt
42
Gly Ser Gly Thr Asp Phe Thr Leu Asn Ile His Pro Val Glu Glu
90
95
100
105

gat gct gca acc tat tac tgt cag cac att agg gag ctt aca cgt tcg 90 Asp Ala Ala Thr Tyr Cys Gln His Ile Arg Glu Leu Thr Arg Ser 110 115 120

gag ggg gga cca agc tgg aaa taaaacggnc tnatgctgca ccaactgtat 41 Glu Gly Gly Pro Ser Trp Lys

125

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4

3

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Gly Ser Thr Gly Asp Ile Val Leu Thr Gln Ser Pro Ala Ser Leu Ala 20 25 30

Val Ser Leu Gly Gln Arg Ala Thr Ile Ser Tyr Arg Ala Ser Lys Ser

Val Ser Thr Ser Gly Tyr Ser Tyr Met His Trp Asn Gln Gln Lys Pro 50 55 60

Gly Gln Pro Pro Arg Leu Leu Ile Tyr Leu Val Ser Asn Leu Glu Ser Page 18

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Method for the Treatment and Prevention of Dental Caries.ST25.txt
70 75 80

Gly Val Pro Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr
85 90 95

Leu Asn Ile His Pro Val Glu Glu Glu Asp Ala Ala Thr Tyr Tyr Cys
100 105 110

Gln His Ile Arg Glu Leu Thr Arg Ser Glu Gly Gly Pro Ser Trp Lys
115 120 125

<210> 15

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<220>

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10

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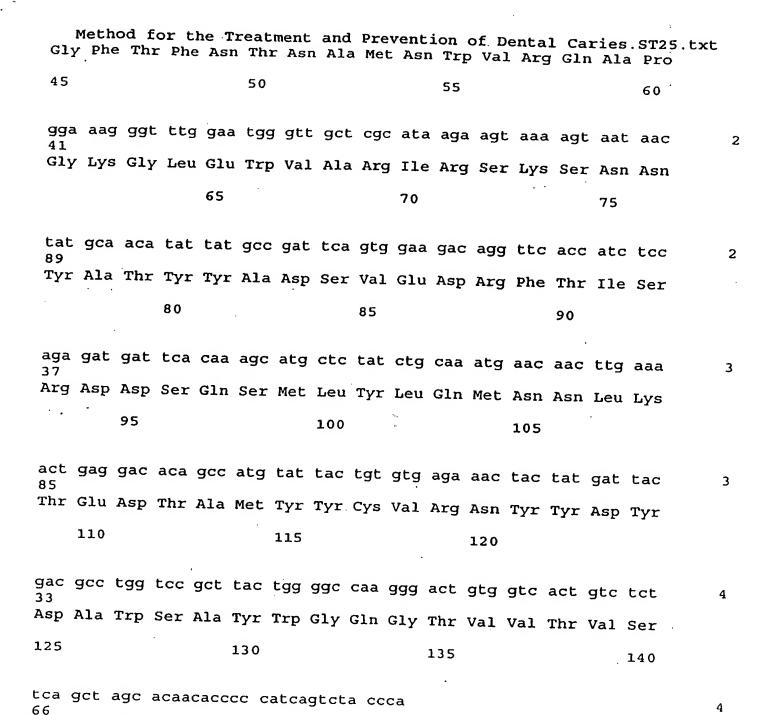
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Method for the Treatment and Prevention of Dental Caries.ST25.txt

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Asn Thr Asn Ala Met Asn Trp Val Arg Gln Ala Pro Gly Lys Gly Leu 50 55 60

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Page 22

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# Method for the Treatment and Prevention of Dental Caries.ST25.txt 45 50 55

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												•					
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	Gly	Ser	Thr	Asn	Tyr	His	Ser	Ala	Leu	Ile	Ser	Arg	Leu	Ser	Ile		
		75					80					85					
																•	
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caa 86	act	gat	gac	aca	gcc	acg	tac	tac	tgt	tta	ttg	taac	ctaco	ccg			3
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Method for the Treatment and Prevention of Dental Caries.ST25.txt
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Method for the Treatment and Prevention of Dental Caries.ST25.txt

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Method for the Treatment and Prevention of Dental Caries.ST25.txt
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# (19) World Intellectual Property Organization International Bureau





(43) International Publication Date 27 December 2002 (27,12,2002)

**PCT** 

# (10) International Publication Number WO 2002/102975 A3

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90066 (US). ANDERSON, Maxwell, H. [US/US]; 9706 4th Avenue NE, Seattle, WA 98115 (US).

(21) International Application Number:

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11 June 2002 (11.06.2002)

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English

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(22) International Filing Date:

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15 June 2001 (15.06.2001) US

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(81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

with international search report

(88) Date of publication of the international search report:
15 April 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR PRODUCING HUMANIZED MONO-CLONAL ANTIBODIES TO S. MUTANS

(57) Abstract: Dental caries in man may be prevented or treated by oral ingestion of human or humanized murine monoclonal IgG and IgM antibodies that bind to surface antigens of cariogenic organisms, such as S. mutans. The genetically engineered monoclonal antibodies engage the effector apparatus of the human immune system when they bind to cariogenic organisms, resulting in their destruction. In a preferred embodiment, monoclonal antibodies to cariogenic organisms are produced by edible plants, including fruits and vegetables, transformed by DNA sequences that code on expression for the desired antibodies. The antibodies are applied by eating the plants.





### INTERNATIONAL SEARCH REPORT

PCT/US02/18692

International application No.

·								
A. CLAS IPC(7) US CL	SSIFICATION OF SUBJECT MATTER  : C07K 16/00, 21/08; A61K 39/42, 39/395, 39/40  : 530/388.1, 388.15, 388.2, 388.4, 390.1; 424/136.1, 141.1, 142.1, 150.1, 1	54 1 165 1	*					
	o International Patent Classification (IPC) or to both national classification and IPC	J4.1, 10J.1						
	DS SEARCHED							
Minimum do	commentation rearrand (classification exetem followed by electification events 1-)							
	Minimum documentation searched (classification system followed by classification symbols) U.S.: 530/388.1, 388.15, 388.2, 388.4, 390.1; 424/136.1, 141.1, 142.1, 150.1, 164.1, 165.1							
Documentati	ion searched other than minimum documentation to the extent that such documents a	re included in the fie	lds searched					
Electronic da Please See C	ata base consulted during the international search (name of data base and, where precontinuation Sheet	cticable, search term	s used)					
	UMENTS CONSIDERED TO BE RELEVANT							
Category *	Citation of document, with indication, where appropriate, of the relevant pass		to claim No.					
X	MA et al. Assembly of monoclonal antibodies in IgG1 and IgA heavy chain dome transgenic tobacco plants. European Journal of Immunology. 1994, Vol. 24, pa 138, see entire document.	sins in ges 131-	1-24					
X	SHI et al., Rapid and Quantitative Detection of Streptococcus mutans with Specie Specific Monoclonal Antibodies. Hybridoma. 1998, Volume 17, No. 4, pages 36 see entire document.		1-24					
<b>X</b> .	US 5,877,293 A (ADAIR et al.) 02 March 1999 (02.03.1999), see entire document	nt.	1-24					
x	WO 92/22653 A1 (GENENTECH, INC.) 23 December 1992 (23.12.1992), see et document	ntire ,	1-24					
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Purther	documents are listed in the continuation of Box C. See patent family a	nev	· · · · · · · · · · · · · · · · · · ·					
		after the international filing						
"A" document		ith the application but cited	to understand the					
"B" carlier ap	plication or patent published on or after the international filing date  when the document is tal	elevance; the claimed invent of be considered to involve	on cannot be in inventive step					
	which may throw doubts on priority claim(s) or which is cited to the publication date of another citation or other special reason (as "Y" document of particular re	devance; the claimed inventi inventive step when the doc	on cannot be					
"O" document	referring to an oral disclosure, use, exhibition or other means cambined with one or me being obvious to a person	are other such documents, so skilled in the art	ch combination					
	published prior to the international filing date but later than the "&" document member of the ate claimed	same patent family						
Date of the ac	ctual completion of the international search  Date of mailing of the international search	•	7					
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Alex	kandria, Virginia 22313-1450 Telephone No. (703) 308-01	96	$I \cdot I = I$					
	(703)305-3230 V							

BNSDOCID: <WO\_\_\_\_02102975A3\_I\_>





### INTERNATIONAL SEARCH REPORT

PCT/US02/18692

International application No.

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claim Nos.:  because they relate to subject matter not required to be searched by this Authority, namely:
2. Claim Nos.:  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claim Nos.:  because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule  6.4(a).
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows: Please See Continuation Sheet
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.
orm PCT/ISA/210 (continuation of first sheet(1)) (July 1998)

BNSDOCID: <WO\_\_\_\_02102975A3\_I\_>

#### INTERNATIONAL SEARCH REPORT

PCT	/US02/	18692
101		100/2

### BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim(s) 1-4, 9-10 and 15-18, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA1 where in the antibodies are produced using transgenic animals.

Group II, claim(s) 1-2, 5-6, 11-12 and 15-18, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA2 where in the antibodies are produced using transgenic animals.

Group III, claim(s) 1-2, 7-8 and 13-18, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA3 where in the antibodies are produced using transgenic animals.

Group IV claim(s) 1-4, 9-10, 15-17 and 19, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA1 wherein said antibodies are produced by immunizing isolated human B lymphocytes in vitro.

Group V, claim(s) 1-2, 5-6, 11-12, 15-17 and 19, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA2 wherein said antibodies are produced by immunizing isolated human B lymphocytes in vitro.

Group VI, claim(s) 1-2, 7-8, 13-17 and 19, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA3 wherein said antibodies are produced by immunizing isolated human B lymphocytes in vitro.

Group VII, claim(s) 1-4, 9-10, 15-17 and 20, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA1 wherein the production of said antibodies comprises isolating human B lymphocytes from humans with an acute infection of at least one cariogenic organism.

Group VIII, claim(s) 1-2, 5-6, 11-12, 15-17 and 20, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA2 wherein the production of said antibodies comprises isolating human B lymphocytes from humans with an acute infection of at least one cariogenic organism.

Group IX, claim(s) 1-2, 7-8, 13-17 and 20, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA3 wherein the production of said antibodies comprises isolating human B lymphocytes from humans with an acute infection of at least one cariogenic organism.

Group X, claim(s) 1-4, 9-10, 15-17 and 21-24, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA1 wherein said antibodies are produced utilizing recombinant techniques.

Group XI, claim(s) 1-2, 5-6, 11-12, 15-17 and 21-24, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA2 wherein said antibodies are produced utilizing recombinant techniques.

Group XII, claim(s) 1-2, 7-8, 13-17 and 21-24, drawn to methods of treating dental caries comprising an antibody derived from the antibody SWLA3 wherein said antibodies are produced utilizing recombinant techniques.

Pursuant to 37 C.F.R. 1.475(d), the ISA/US considers that where multiple products and processes are claimed, the main invention shall consist of the first invention of the category first mentioned in the claims and the first recited invention of each of the other categories related thereto. Accordingly, the main invention (Group I) comprises the first recited method, methods of treating dental caries utilizing antibodies derived from the antibody SWLA1 wherein said antibodies are produced using transgenic animals. Further pursuant to 37 C.F.R. 1.475(d), the ISA/US considers that any feature which the subsequently recited products and methods share with the main invention does not constitute a special technical feature within the meaning of PCT rule 13.2 and that each of such products and methods accordingly defines a separate invention.

Form PCT/ISA/210 (second sheet) (July 1998)



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### INTERNATIONAL SEARCH REPORT

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The special technical feature of Group I is the antibody derived from SWLA-1 produced in transgenic animals.

The special technical feature of Group II is the antibody derived from SWLA-2 produced in transgenic animals.

The special technical feature of Group III is the antibody derived from SWLA-3 produced in transgenic animals.

The special technical feature of Group IV is the antibody derived from SWLA-1 produced by isolated human B lymphocytes in vitro.

The special technical feature of Group V is the antibody derived from SWLA-2 produced by isolated human B lymphocytes in vitro.

The special technical feature of Group VI is the antibody derived from SWLA-2 produced by isolated human B lymphocytes in vitro.

The special technical feature of Group VI is the antibody derived from SWLA-3 produced by isolated human B lymphocytes in vitro. The special technical feature of Group VII is the antibody derived from SWLA-1 produced by isolated human B lymphocytes from humans with an acute infection of at least one cariogenic organism.

The special technical feature of Group VIII is the antibody derived from SWLA-2 produced by isolated human B lymphocytes from humans with an acute infection of at least one cariogenic organism.

The special technical feature of Group IX is the antibody derived from SWLA-3 produced by isolated human B lymphocytes from humans with an acute infection of at least one cariogenic organism.

The special technical feature of Group X is the antibody derived from SWLA-1 produced using recombinant techniques. The special technical feature of Group XI is the antibody derived from SWLA-2 produced using recombinant techniques. The special technical feature of Group XII is the antibody derived from SWLA-3 produced using recombinant techniques.

In the instant case groups Groups I-XII do not share the same special technical feature since they utilize different antibodies derived from differing deposited materials (SWLA-1, SWLA-2, SWLA-3) each having differing biochemical and immunological properties. Additionally, the method used to produce a given antibody will result in differing (unique) antibodies. For instance the antibodies of Groups 1, 4, 7 and 10 are all based on SWLA-1, However, the antibodies produced by the varying methods would be different from each other. Antibodies produced recombinantly (Group X) would not have the same glycosylation pattern of antibodies of antibodies produced by B cells (Groups II and III). The same can be said from antibodies produced by transgenic animals. With regard to the methods of Groups IV and VII, the immunoepitopes would be different from not only each other but those produced transgenically and recombinantly since different "antigen" fragments will utilized by the B cells. It should be noted that only the methods of Groups I and X give one any control over the initial sequences of the antibodies. However, the antibodies produced by recombinant intectant dental caries (as recited in claim 1) is known in the art (see Ma et al. European Journal of Immunology 1994, Vol. 24(1), pages 131-138).

Continuation of B. FIELDS SEARCHED Item 3: STN, MEDLINE, EAST

search terms: SWLA1, SWLA2, SWLA3, antibody, dental caries, treatment, S. mutans, humoral, cell-mediated

Form PCT/ISA/210 (second sheet) (July 1998)